

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: 30 September 2010

Name of Fund : Life Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

*Rs. Lacs*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter <sup>1</sup></u>								
	NIL								
B.	<u>As on Date <sup>2</sup></u>								
	NIL								

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature \_\_\_\_\_

Mr. Sandeep Nanda

Chief Investment Officer

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2007-08

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: 30 September 2010

Name of Fund : Pension / General Annuity Fund

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

*Rs. Lacs*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter <sup>1</sup></u>								
	NIL								
B.	<u>As on Date <sup>2</sup></u>								
	NIL								

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Mr. Sandeep Nanda

Chief Investment Officer

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Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: 30 September 2010

Name of Fund : Unit Linked

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

*Rs. Lacs*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter <sup>1</sup></u>								
	NIL								
B.	<u>As on Date <sup>2</sup></u>								
	NIL								

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

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Signature \_\_\_\_\_

Mr. Sandeep Nanda

Chief Investment Officer

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