

Statement as on: September 30, 2015

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	1,015	7	0.71	0.71	1,019	22	2.21	2.21
2	Central Government Bonds	CGSB	31,274	661	2.11	2.11	29,406	1,247	4.24	4.24	24,547	1,055	4.30	4.30
3	Central Government Guaranteed Loans / Bonds	CGSL	1,997	48	2.43	2.43	1,915	92	4.80	4.80	1,827	81	4.44	4.44
4	Treasury Bills	CTRB	835	15	1.77	1.77	932	33	3.53	3.53	752	18	2.37	2.37
5	State Government Securities	SGGB	18,443	399	2.16	2.16	18,211	786	4.32	4.32	5,443	258	4.74	4.74
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	3,263	157	4.82	4.82
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	5,548	135	2.43	2.43	5,535	268	4.84	4.84	1,260	2	0.14	0.14
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	201	7	3.65	3.65
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	500	1	0.15	0.15
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	3,191	71	2.24	2.24	2,873	129	4.48	4.48	50	3	5.14	5.14
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	500	14	2.71	2.71	500	27	5.39	5.39	500	27	5.39	5.39
14	Infrastructure - Equity (including unlisted)	IOEQ	137	0	0.21	0.21	140	0	0.20	0.20	-	-	-	-
15	Infrastructure - Equity (Promoter Group)	IOPE	18	-	-	-	77	-	-	-	-	-	-	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	39	1	1.88	-	39	1	1.88	1.88	-	-	-	-
17	Infrastructure - PSU - Debentures / Bonds	IPTD	5,804	137	2.37	2.37	5,804	272	4.69	4.69	5,555	258	4.64	4.64
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	278	1	0.42	0.42	184	6	3.51	3.51	13	3	20.72	20.72
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	85	2	2.24	2.24	51	2	3.76	3.76	27	4	15.25	15.25
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	552	2	0.43	0.43
21	Infrastructure - LongTerm Bank Bonds Approved Investment	ILBI	1,000	22	2.23	2.23	1,000	44	4.44	4.44	-	-	-	-
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	11,110	205	1.85	1.85	11,104	317	2.86	2.86	3,845	319	8.30	8.30
23	PSU - Equity shares - Quoted	EAEQ	1,370	29	2.10	2.10	1,291	28	2.18	2.18	796	42	5.28	5.28
24	Application Money	ECAM	-	2	-	-	-	2	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	500	1	0.16	0.16
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,502	76	3.04	3.04	2,527	152	6.00	6.00	3,714	209	5.63	5.63
27	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
28	Corporate Securities - Debentures	ECOS	9,655	238	2.47	2.47	10,085	494	4.90	4.90	3,643	177	4.86	4.86
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	717	1	0.09	0.09
30	Corporate Securities - Bonds - (Taxable)	EPBT	300	7	2.43	2.43	300	14	4.82	4.82	300	14	4.82	4.82
31	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,286	45	1.97	1.97	1,776	69	3.88	3.88	1,170	54	4.66	4.66
32	Passively Managed Equity ETF (Non Promoter Group)	EETF	9	3	29.52	29.52	9	3	30.36	30.36	1,350	19	1.37	1.37
33	Mutual Funds - (under Insurer's Promoter Group)	EMPG	1,600	1	0.09	0.09	1,321	4	0.27	0.27	375	5	1.26	1.26
34	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	500	14	2.77	2.77	500	28	5.52	5.52	500	10	2.05	2.05
35	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
36	Passively Managed Equity ETF (Non Promoter Group)	OETF	665	-	-	-	667	-	-	-	1,554	20	1.30	1.30
37	Equity Shares (incl Co-op Societies)	OESH	1,853	246	13.25	13.25	2,007	309	15.38	15.38	864	160	18.55	18.55
38	Debentures	OLDB	2,421	37	1.52	1.52	2,421	37	1.52	1.52	340	15	4.49	4.49
39	Short term Loans (Unsecured Deposits)	OSLU	2,800	65	2.34	2.34	2,467	114	4.63	4.63	-	-	-	-
40	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	1,037	36	3.51	3.51
41	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
42	Preference Shares	OPSH	82	-	-	-	80	-	-	-	75	-	-	-
	<b>TOTAL</b>		<b>106,303</b>	<b>2,474</b>			<b>104,235</b>	<b>4,485</b>			<b>66,285</b>	<b>2,974</b>		

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-15

Signature \_\_\_\_\_

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

<sup>1</sup> Based on daily simple Average of Investments<sup>2</sup> Yield netted for Tax<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown<sup>4</sup> FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.<sup>5</sup> Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2015

Name of the Fund Pension / General Annuity Fund



Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	
2	Central Government Guaranteed Loans / Bonds	CGSL	429	10	2.25	2.25	306	14	4.56	4.56	181	8	4.45	4.45
2	Central Government Bonds	CGSB	4,636	95	2.05	2.05	4,162	170	4.08	4.08	3,485	142	4.07	4.07
3	Treasury Bills	CTRB	498	2	0.36	0.36	951	23	2.45	2.45	610	13	2.14	2.14
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	
5	State Government Securities	SGGB	3,384	71	2.10	2.10	2,853	120	4.20	4.20	379	18	4.65	4.65
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	964	48	5.01	5.01
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,806	42	2.32	2.32	1,733	82	4.75	4.75	600	0	0.05	0.05
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	1,240	27	2.17	2.17	1,205	28	2.36	2.36	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPTD	1,654	38	2.29	2.29	1,406	64	4.55	4.55	1,238	57	4.64	4.64
10	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	1	0	1.33	1.33
11	Infrastructure - PSU - Equity shares - Quoted	ITPE	4	0	8.41	8.41	8	1	6.43	6.43	12	0	2.83	2.83
12	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	462	16	3.43	3.43	458	22	4.70	4.70	95	1	0.72	0.72
13	PSU - Equity shares - Quoted	EAEQ	83	0	0.05	0.05	76	1	1.27	1.27	26	1	4.33	4.33
14	Commercial Papers	ECCP	484	11	2.22	2.22	479	21	4.37	4.37	-	-	-	-
15	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,883	49	2.60	2.60	1,897	97	5.13	5.13	1,462	76	5.18	5.18
16	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	
17	Corporate Securities - Debentures	ECOS	2,427	59	2.45	2.45	2,497	123	4.92	4.92	860	44	5.11	5.11
18	Deposits - CDs with Scheduled Banks	ECD	163	3	2.11	2.11	161	7	4.16	4.16	94	4	4.62	4.62
19	Corporate Securities - Bonds - (Taxable)	EPBT	451	11	2.46	2.46	451	22	4.84	4.84	452	22	4.84	4.84
20	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	97	2	1.98	1.98	70	3	3.64	3.64	32	1	2.74	2.74
21	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	53	0	0.14	0.14	1	0	0.79	0.79
22	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	206	17	8.04	8.04
23	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	
24	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	
25	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	
26	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	
27	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	
28	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	
29	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	
	<b>TOTAL</b>		<b>19,701</b>	<b>436</b>			<b>18,766</b>	<b>796</b>			<b>10,701</b>	<b>452</b>		

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-15

Signature \_\_\_\_\_

Mr. Rajeev Kumar

Chief of Finance

**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time<sup>1</sup> Based on daily simple Average of Investments<sup>2</sup> Yield netted for Tax<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown<sup>4</sup> FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.<sup>5</sup> Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2015

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>					
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>		
<b>A</b>	<b>GOVERNMENT SECURITIES</b>															
	Central Government Bonds	CGSB	25,324	1,034	4.08	4.08	24,002	1,035	4.31	4.31	21,282	1,294	6.08	6.08		
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	517	35	6.77	6.77		
	Treasury Bills	CTRB	2,370	47	1.99	1.99	2,750	110	4.00	4.00	1,368	59	4.31	4.31		
<b>B</b>	<b>GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES</b>															
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	828	31	3.80	3.80	868	32	3.68	3.68	1,172	81	6.90	6.90		
<b>C</b>	<b>HOUSING &amp; LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT</b>															
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	982	21	2.10	2.10	972	41	4.22	4.22	307	10	3.28	3.28		
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	1,845	100	5.42	5.42		
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,473	52	3.56	3.56	1,622	74	4.58	4.58	-	-	-	-		
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>															
	Infrastructure - PSU - Debentures / Bonds	IPTD	1,437	60	4.19	4.19	1,717	80	4.65	4.65	3,390	198	5.84	5.84		
	Infrastructure / Social Sector - Other Corporate Securities (approved investments) - Debentures / Bonds	ICTD	487	20	4.02	4.02	487	23	4.66	4.66	470	33	6.96	6.96		
	Infrastructure - Equity (including unlisted)	IOEQ	320	49	15.45	15.45	480	100	20.92	20.92	924	340	36.82	36.82		
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	1,001	217	21.72	21.72	1,001	217	21.72	21.72	-	-	-	-		
	Infrastructure - Equity (Promoter Group)	IOPE	293	56	18.94	18.94	862	90	10.50	10.50	2,143	523	24.43	24.43		
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	2,481	20	0.80	0.80	2,412	40	1.67	1.67	2,319	685	29.54	29.54		
	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,502	96	6.37	6.37	1,944	366	18.83	18.83	3,056	236	7.71	7.71		
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	498	3	0.70	0.70	492	16	3.29	3.29		
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	489	22	4.47	4.47		
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>															
	PSU - Equity shares - Quoted	EAEQ	9,111	561	6.16	6.16	9,138	117	1.28	1.28	14,170	3,346	23.61	23.61		
	Application Money	ECAM	-	0	-	-	-	1	-	-	-	-	-	-		
	Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	99,350	997	1.00	1.00	103,403	1,420	1.37	1.37	118,958	26,029	21.88	21.88		
	Corporate Securities - Bonds - (Taxable)	EPBT	1,358	64	4.71	4.71	1,358	78	5.74	5.74	1,326	83	6.27	6.27		
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,560	61	2.38	2.38	2,724	129	4.73	4.73	1,383	67	4.82	4.82		
	Deposits - CDs with Scheduled Banks	EDCD	317	7	2.12	2.12	314	13	4.25	4.25	1,480	68	4.60	4.60		
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-		
	Corporate Securities - Debentures	ECOS	593	23	3.88	3.88	809	33	4.06	4.06	1,051	58	5.50	5.50		
	Commercial Papers	ECCP	1,514	33	2.18	2.18	1,285	56	4.33	4.33	857	40	4.72	4.72		
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	4,231	341	8.05	8.05		
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,819	36	1.97	1.97	1,772	72	4.07	4.07	1,255	55	4.41	4.41		
	CCIL - CBO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-		
	Mutual Funds - (Under Insurer's Promoter Group)	EMPG	57	0	0.06	0.06	274	0	0.11	0.11	410	1	0.13	0.13		
	Net Current Assets (Only in respect of ULIP Business)	ENCA	1,104	-	-	-	1,104	-	-	-	47	-	-	-		
<b>F</b>	<b>OTHER INVESTMENTS</b>															
	Equity Shares (incl. Co-op Societies)	OESH	7,037	560	7.96	7.96	7,371	266	3.60	3.60	4,968	1,676	33.74	33.74		
	Short term Loans (Unsecured Deposits)	OSLU	500	12	2.43	2.43	500	24	4.75	4.75	-	-	-	-		
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-		
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,002	19	1.92	1.92	997	40	3.98	3.98	776	34	4.34	4.34		
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-		
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	64	3	5.33	5.33		
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-		
	Passively Managed Equity ETF (Non Promoter Group)	OETF	8,467	491	5.80	5.80	8,664	346	3.99	3.99	-	-	-	-		
	Debentures	OLDB	666	5	0.81	0.81	666	5	0.81	0.81	-	-	-	-		
	<b>TOTAL</b>		<b>173,952</b>	<b>1,481</b>			<b>179,996</b>	<b>853</b>			<b>190,749</b>	<b>35,433</b>				

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-15

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<sup>1</sup> Based on daily simple Average of Investments<sup>2</sup> Yield netted for Tax<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown<sup>4</sup> FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFNI) level and also at consolidated level.

Signature: \_\_\_\_\_

Mr. Rajeev Kumar

Chief of Finance