

Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: September 30, 2015

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund Life Fund



									Rs Lakhs
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	<u>9.55% HINDALCO DB 25-04-2022</u>	OLDB	1,193	10-Dec-14	CRISIL	AA	AA-	3-Aug-15	
	<u>9.55% HINDALCO DB 27-06-2022</u>	OLDB	30	4-Oct-12	CRISIL	AA	AA-	3-Aug-15	
	<u>9.60% HINDALCO DB 02-08-2022</u>	OLDB	396	11-Jul-14	CRISIL	AA	AA-	3-Aug-15	
B.	<u>As on Date ²</u>								
	<u>10.75% TATA POWER PI 21-08-2012</u>	IODS	450	21-Aug-12	CRISIL	AA	AA-	18-Oct-13	
	<u>9.55% HINDALCO DB 25-04-2022</u>	OLDB	1,193	10-Dec-14	CRISIL	AA	AA-	3-Aug-15	
	<u>9.55% HINDALCO DB 27-06-2022</u>	OLDB	30	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	<u>9.55% HINDALCO DB 27-06-2022</u>	OLDB	30	4-Oct-12	CRISIL	AA	AA-	3-Aug-15	
	<u>9.60% HINDALCO DB 02-08-2022</u>	OLDB	396	11-Jul-14	CRISIL	AA	AA-	3-Aug-15	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Sep-15

Signature _____

Mr. Rajeev Kumar

Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

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Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund Pension - General Annuity Fund

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
NIL									
B.	<u>As on Date</u> ²								
NIL									

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Statement as on: September 30, 2015

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Periodicity of Submission: Quarterly

Name of Fund _____ Linked Fund _____



Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>¹								
	9.55% HINDALCO DB 27-06-2022	OLDB	671.03	4-Oct-12	CRISIL	AA	AA-	3-Aug-15	
B.	<u>As on Date</u>²								
	9.55% HINDALCO DB 27-06-2022	OLDB	671.03	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	9.55% HINDALCO DB 27-06-2022	OLDB	671.03	4-Oct-12	CRISIL	AA	AA-	3-Aug-15	

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