

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	1,015	7	0.71	0.71	1,018	41	4.00	4.00
2	Central Government Bonds	CGSB	35,488	749	2.11	2.11	31,441	1,995	6.35	6.35	24,562	1,585	6.45	6.45
3	Central Government Guaranteed Loans / Bonds	CGSL	1,789	71	3.98	3.98	1,873	163	8.71	8.71	1,828	127	6.96	6.96
4	Treasury Bills	CTRB	574	2	0.40	0.40	885	35	3.98	3.98	922	40	4.39	4.39
5	State Government Securities	SGGB	18,646	401	2.15	2.15	18,356	1,188	6.47	6.47	6,353	444	6.99	6.99
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	3,263	157	4.82	4.82
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	6,024	146	2.43	2.43	5,699	414	7.27	7.27	5,317	137	2.58	2.58
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	201	7	3.65	3.65
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	500	1	0.15	0.15
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	4,572	104	2.28	2.28	3,441	233	6.77	6.77	50	4	7.72	7.72
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	500	14	2.70	2.70	500	40	8.09	8.09	500	40	8.10	8.10
14	Infrastructure - Equity (including unlisted)	IOEQ	117	0	0.06	0.06	132	0	0.26	0.26	20	-	-	-
15	Infrastructure - Equity (Promoter Group)	IOPE	20	2	12.07	12.07	59	2	4.04	4.04	-	-	-	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	34	-	-	-	36	1	2.02	2.02	-	-	-	-
17	Infrastructure - PSU - Debentures / Bonds	IPTD	5,353	136	2.55	2.55	5,653	409	7.23	7.23	5,716	398	6.96	6.96
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,053	-	-	-	478	6	1.35	1.35	14	2	14.81	14.81
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	124	0	0.05	0.05	75	2	2.61	2.61	23	4	17.63	17.63
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	552	2	0.43	0.43
21	Infrastructure - LongTerm Bank Bonds Approved Investment	ILBI	1,500	34	2.27	2.27	1,167	78	6.72	6.72	-	-	-	-
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	11,642	133	1.14	1.14	11,284	450	3.99	3.99	4,465	382	8.55	8.55
23	PSU - Equity shares - Quoted	EAEQ	1,360	5	0.40	0.40	1,314	23	1.72	1.72	827	56	6.72	6.72
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	500	1	0.16	0.16
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,502	77	3.10	3.10	2,519	229	9.09	9.09	3,431	293	8.53	8.53
27	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
28	Corporate Securities - Debentures	ECOS	10,264	252	2.45	2.45	10,145	746	7.36	7.36	5,069	375	7.39	7.39
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	717	1	0.09	0.09
30	Corporate Securities - Bonds - (Taxable)	EPBT	363	13	3.54	3.54	321	27	8.51	8.51	300	22	7.24	7.24
31	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,862	36	1.91	1.91	1,805	105	5.79	5.79	1,140	76	6.66	6.66
32	Passively Managed Equity ETF (Non Promoter Group)	EETF	0	-	-	-	4	3	60.73	60.73	1,379	19	1.35	1.35
33	Mutual Funds - (under Insurer's Promoter Group)	EMPG	1,632	0	0.02	0.02	1,366	4	0.28	0.28	697	5	0.78	0.78
34	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	500	14	2.76	2.76	500	41	8.28	8.28	500	24	4.82	4.82
35	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
36	Passively Managed Equity ETF (Non Promoter Group)	OETF	634	-	-	-	656	-	-	-	1,619	110	6.77	6.77
37	Equity Shares (incl Co-op Societies)	OESH	1,264	43	3.40	3.40	1,758	352	19.99	19.99	991	154	15.57	15.57
38	Debentures	OLDB	2,435	59	2.41	2.41	2,429	95	3.93	3.93	340	15	4.49	4.49
39	Short term Loans (Unsecured Deposits)	OSLU	2,800	67	2.38	2.38	2,578	181	7.01	7.01	-	-	-	-
40	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	992	37	3.73	3.73
41	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
42	Preference Shares	OPSH	84	-	-	-	81	-	-	-	77	-	-	-
	<b>TOTAL</b>		<b>113,136</b>	<b>2,348</b>			<b>107,572</b>	<b>6,831</b>			<b>73,882</b>	<b>4,551</b>		

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-15

Signature \_\_\_\_\_

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

<sup>1</sup> Based on daily simple Average of Investments

<sup>2</sup> Yield netted for Tax

<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

<sup>4</sup> FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

<sup>5</sup> Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2015

Name of the Fund Pension / General Annuity Fund



Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	503	23	4.55	4.55	347	37	10.61	10.61	181	13	6.97	6.97
2	Central Government Bonds	CGSB	5,024	103	2.04	2.04	4,450	272	6.12	6.12	3,524	215	6.09	6.09
3	Treasury Bills	CTRB	-	-	-	-	951	23	2.45	2.45	681	29	4.22	4.22
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	State Government Securities	SGGB	4,396	91	2.07	2.07	3,369	211	6.26	6.26	594	40	6.76	6.76
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	964	48	5.01	5.01
7	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	2,835	67	2.37	2.37	2,101	150	7.12	7.12	1,641	41	2.48	2.48
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	1,828	41	2.25	2.25	1,503	69	4.62	4.62	-	-	-	-
9	Infrastructure - PSU - Debentures / Bonds	IPTD	3,000	86	2.86	2.86	1,939	150	7.73	7.73	1,237	86	6.94	6.94
10	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	1	1	43.16	43.16
11	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	8	1	6.43	6.43	12	0	2.91	2.91
12	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	472	5	1.05	1.05	463	17	3.58	3.58	136	7	5.42	5.42
13	PSU - Equity shares - Quoted	EAEQ	76	0	0.19	0.19	76	1	1.08	1.08	30	1	3.99	3.99
14	Commercial Papers	ECCP	490	3	0.68	0.68	480	24	5.06	5.06	-	-	-	-
15	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,883	50	2.66	2.66	1,893	147	7.79	7.79	1,557	121	7.80	7.80
16	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
17	Corporate Securities - Debentures	ECOS	2,471	60	2.41	2.41	2,488	182	7.33	7.33	1,156	87	7.51	7.51
18	Deposits - CDs with Scheduled Banks	ECD	167	4	2.16	2.16	163	10	6.32	6.32	95	7	7.04	7.04
19	Corporate Securities - Bonds - (Taxable)	EPBT	333	26	7.72	7.72	412	48	11.55	11.55	452	33	7.26	7.26
20	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	133	3	1.88	1.88	92	5	5.48	5.48	37	2	4.79	4.79
21	Mutual Funds - (under Insurer's Promoter Group)	EMPG	231	-	-	-	78	0	0.09	0.09	33	0	0.25	0.25
22	Passively Managed Equity ETF (Non Promoter Group)	EETF	0	-	-	-	0	-	-	-	209	17	8.36	8.36
23	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
24	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
25	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
26	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
27	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
28	Debentures	OLDB	-	-	-	-	-	0	-	-	-	-	-	-
29	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
	<b>TOTAL</b>		<b>23,841</b>	<b>551</b>			<b>20,814</b>	<b>1,347</b>			<b>12,540</b>	<b>746</b>		

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-15

Signature \_\_\_\_\_

Mr. Rajeev Kumar

Chief of Finance

**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time<sup>1</sup> Based on daily simple Average of Investments<sup>2</sup> Yield netted for Tax<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown<sup>4</sup> FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.<sup>5</sup> Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2015

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>					
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>		
<b>A</b>	<b>GOVERNMENT SECURITIES</b>															
	Central Government Bonds	CGSB	24,848	252	1.01	1.01	24,285	1,286	5.30	5.30	21,087	2,522	11.96	11.96		
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	517	35	6.77	6.77		
	Treasury Bills	CTRB	3,248	59	1.81	1.81	2,917	169	5.79	5.79	1,816	115	6.35	6.35		
<b>B</b>	<b>GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES</b>															
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	782	6	0.77	0.77	839	38	4.52	4.52	1,119	162	14.46	14.46		
<b>C</b>	<b>HOUSING &amp; LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT</b>															
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	996	8	0.76	0.76	976	49	4.98	4.98	442	18	4.03	4.03		
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	1,845	100	5.42	5.42		
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,458	20	1.39	1.39	1,567	95	6.04	6.04	2,079	76	3.65	3.65		
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>															
	Infrastructure - PSU - Debentures / Bonds	IPTD	879	20	2.22	2.22	1,437	60	4.20	4.20	3,450	342	9.90	9.90		
	Infrastructure / Social Sector - Other Corporate Securities (approved investments) - Debentures / Bonds	ICTD	490	7	1.35	1.35	488	29	6.01	6.01	474	58	12.15	12.15		
	Infrastructure - Equity (including unlisted)	IOEQ	141	28	19.76	19.76	367	128	35.00	35.00	926	402	43.39	43.39		
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	850	8	0.94	0.94	925	209	22.62	22.62	-	-	-	-		
	Infrastructure - Equity (Promoter Group)	IOPE	218	41	18.95	18.95	646	132	20.39	20.39	2,069	269	12.98	12.98		
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,743	155	8.90	8.90	2,188	115	5.25	5.25	2,298	1,016	44.21	44.21		
	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,095	27	2.42	2.42	1,660	393	23.65	23.65	3,270	1,058	32.36	32.36		
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	498	3	0.70	0.70	492	27	5.53	5.53		
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	489	22	4.47	4.47		
	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	534	38	7.20	7.20	534	38	7.20	7.20	-	-	-	-		
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>															
	PSU - Equity shares - Quoted	EAEQ	7,885	117	1.49	1.49	8,719	0	0.00	0.00	14,228	4,874	34.26	34.26		
	Application Money	ECAM	-	-	-	-	-	1	-	-	-	-	-	-		
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	91,999	774	0.85	0.85	99,425	2,194	2.21	2.21	119,192	33,769	28.33	28.33		
	Corporate Securities - Bonds - (Taxable)	EPBT	1,324	19	1.43	1.43	1,347	97	7.20	7.20	1,335	144	10.83	10.83		
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,163	51	2.35	2.35	2,536	180	7.09	7.09	1,701	123	7.21	7.21		
	Deposits - CDs with Scheduled Banks	EDCD	324	7	2.06	2.06	317	20	6.31	6.31	1,464	102	6.94	6.94		
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	1,063	3	0.28	0.28		
	Corporate Securities - Debentures	ECOS	352	6	1.81	1.81	656	39	5.97	5.97	1,039	109	10.52	10.52		
	Commercial Papers	ECCP	1,543	33	2.12	2.12	1,371	88	6.44	6.44	731	51	7.02	7.02		
	Passively Managed Equity ETF (Non Promoter Group)	EETF	0	-	-	-	0	-	-	-	4,861	1,446	29.74	29.74		
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,005	38	1.92	1.92	1,850	110	5.97	5.97	1,231	81	6.60	6.60		
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-		
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	274	0	0.11	0.11	340	1	0.22	0.22		
	Net Current Assets (Only in respect of ULIP Business)	ENCA	2,744	-	-	-	2,744	-	-	-	739	-	-	-		
<b>F</b>	<b>OTHER INVESTMENTS</b>															
	Equity Shares (incl Co-op Societies)	OESH	5,948	132	2.22	2.22	6,895	133	1.93	1.93	5,144	2,796	54.35	54.35		
	Short term Loans (Unsecured Deposits)	OSLU	500	12	2.45	2.45	500	36	7.20	7.20	-	-	-	-		
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-		
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	575	11	1.99	1.99	856	51	5.97	5.97	907	59	6.50	6.50		
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-		
	Preference Shares	OPSH	-	-	-	-	-	-	-	-	64	3	5.33	5.33		
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-		
	Passively Managed Equity ETF (Non Promoter Group)	OETF	6,463	117	1.82	1.82	7,928	463	5.85	5.85	2,063	104	-	-		
	Debentures	OLDB	669	10	1.54	1.54	668	16	2.34	2.34	-	-	-	-		
	<b>TOTAL</b>		<b>161,375</b>	<b>244</b>			<b>175,414</b>	<b>1,097</b>			<b>198,475</b>	<b>49,887</b>				

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-15

*Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time*<sup>1</sup> Based on daily simple Average of Investments<sup>2</sup> Yield netted for Tax<sup>3</sup> In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown<sup>4</sup> FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFNI) level and also at consolidated level.Signature: \_\_\_\_\_  
Mr. Rajeev Kumar  
Chief of Finance