

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	1,015	7	0.71	0.71
2	Central Government Bonds	CGSB	45,882	967	2.11	2.11	45,882	967	2.11	2.11	27,517	586	2.13	2.13
3	Central Government Guaranteed Loans / Bonds	CGSL	1,509	36	2.37	2.37	1,509	36	2.37	2.37	1,832	43	2.37	2.37
4	Treasury Bills	CTRB	499	2	0.45	0.45	499	2	0.45	0.45	1,023	18	1.78	1.78
5	State Government Securities	SGGB	22,623	484	2.14	2.14	22,623	484	2.14	2.14	17,976	388	2.16	2.16
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	9,101	213	2.34	2.34	9,101	213	2.34	2.34	5,522	133	2.41	2.41
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	7,051	157	2.22	2.22	7,051	157	2.22	2.22	2,551	57	2.25	2.25
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	500	13	2.67	2.67	500	13	2.67	2.67	500	13	2.68	2.68
14	Infrastructure - Equity (including unlisted)	IOEQ	135	21	15.53	15.53	135	21	15.53	15.53	144	-	-	-
15	Infrastructure - Equity (Promoter Group)	IOPE	55	-	-	-	55	-	-	-	84	-	-	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	35	0	0.06	-	35	0	0.06	0.06	-	-	-	-
17	Infrastructure - PSU - Debentures / Bonds	IPTD	8,732	196	2.24	2.24	8,732	196	2.24	2.24	5,803	135	2.32	2.32
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	962	2	0.16	0.16	962	2	0.16	0.16	85	5	6.19	6.19
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	245	-	-	-	245	-	-	-	16	-	-	-
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
21	Infrastructure - Long Term Bank Bonds Approved Investment	ILBI	2,025	45	2.22	2.22	2,025	45	2.22	2.22	1,000	22	2.21	2.21
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	10,877	188	1.73	1.73	10,877	188	1.73	1.73	11,099	112	1.01	1.01
23	PSU - Equity shares - Quoted	EAEQ	1,195	17	1.43	1.43	1,195	17	1.43	1.43	1,210	1	0.05	0.05
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,449	77	3.15	3.15	2,449	77	3.15	3.15	2,553	76	2.96	2.96
27	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
28	Corporate Securities - Debentures	ECOS	13,147	313	2.38	2.38	13,147	313	2.38	2.38	10,519	256	2.44	2.44
29	Deposits - CDs with Scheduled Banks	EDCD	1,880	2	0.09	0.09	1,880	2	0.09	0.09	-	-	-	-
30	Corporate Securities - Bonds - (Taxable)	EPBT	1,800	41	2.25	2.25	1,800	41	2.25	2.25	300	7	2.39	2.39
31	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,143	40	1.89	1.89	2,143	40	1.89	1.89	1,223	24	1.96	1.96
32	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	9	-	-	-
33	Mutual Funds - (under Insurer's Promoter Group)	EMPG	1,466	10	0.66	0.66	1,466	10	0.66	0.66	1,182	2	0.18	0.18
34	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	500	14	2.74	2.74
35	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
36	Passively Managed Equity ETF (Non Promoter Group)	OETF	626	19	3.11	3.11	626	19	3.11	3.11	669	-	-	-
37	Equity Shares (incl Co-op Societies)	OESH	1,350	40	2.95	2.95	1,350	40	2.95	2.95	2,162	63	2.91	2.91
38	Debentures	OLDB	2,437	58	2.39	2.39	2,437	58	2.39	2.39	-	-	-	-
39	Short term Loans (Unsecured Deposits)	OSLU	2,800	69	2.45	2.45	2,800	69	2.45	2.45	2,130	49	2.29	2.29
40	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	697	1	0.14	0.14	697	1	0.14	0.14	-	-	-	-
41	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
42	Debt Capital Instruments (DCI Base III)	ODCI	500	14	2.73	2.73	500	14	2.73	2.73	-	-	-	-
43	Preference Shares	OPSH	86	0	0.00	0.00	86	0	0.00	0.00	78	-	-	-
	TOTAL		142,807	2,867			142,807	2,867			98,701	2,010		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Jun-16

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 Group Term Life has been classified under PGA from 1st April 2013

Signature
 Mr. Rameev Kumar
 Chief of Finance

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 30, 2016

Name of the Fund Pension / General Annuity Fund



Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	
2	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	181	4	2.38	2.38
2	Central Government Bonds	CGSB	5,684	119	2.09	2.09	5,684	119	2.09	2.09	3,682	75	2.03	2.03
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	1,016	21	2.11	2.11
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	State Government Securities	SGGB	5,453	113	2.08	2.08	5,453	113	2.08	2.08	2,316	49	2.11	2.11
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	216	5	2.25	2.25	216	5	2.25	2.25	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	3,079	71	2.32	2.32	3,079	71	2.32	2.32	1,659	40	2.44	2.44
9	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	4,291	95	2.21	2.21	4,291	95	2.21	2.21	807	2	0.19	0.19
10	Infrastructure - PSU - Debentures / Bonds	IPTD	2,559	66	2.57	2.57	2,559	66	2.57	2.57	1,155	26	2.27	2.27
11	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	8	1	10.50	10.50
13	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	280	5	1.64	1.64	280	5	1.64	1.64	455	6	1.26	1.26
14	PSU - Equity shares - Quoted	EAEQ	62	0	0.72	0.72	62	0	0.72	0.72	68	1	1.46	1.46
15	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	473	10	2.15	2.15
16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,383	40	2.87	2.87	1,383	40	2.87	2.87	1,912	48	2.53	2.53
17	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
18	Corporate Securities - Debentures	ECOS	2,970	71	2.40	2.40	2,970	71	2.40	2.40	2,567	63	2.47	2.47
19	Deposits - CDs with Scheduled Banks	EDCD	100	0	0.10	0.10	100	0	0.10	0.10	160	3	2.05	2.05
20	Corporate Securities - Bonds - (Taxable)	EPBT	949	21	2.16	2.16	949	21	2.16	2.16	451	11	2.38	2.38
21	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	90	2	1.74	1.74	90	2	1.74	1.74	38	1	1.70	1.70
22	Mutual Funds - (under Insurer's Promoter Group)	EMPG	62	0	0.16	0.16	62	0	0.16	0.16	53	0	0.14	0.14
23	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
24	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
25	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
26	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
27	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
29	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
30	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		27,178	607			27,178	607			17,001	360		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Jun-16

Signature _____

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: June 30, 2016

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³					
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²		
A	GOVERNMENT SECURITIES															
	Central Government Bonds	CGSB	22,350	602	2.69	2.69	22,350	602	2.69	2.69	22,667	0	0.00	0.00		
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	2,941	50	1.71	1.71	2,941	50	1.71	1.71	3,134	63	2.01	2.01		
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES															
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	788	20	2.58	2.58	788	20	2.58	2.58	908	0	0.05	0.05		
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT															
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	382	9	2.25	2.25	382	9	2.25	2.25	962	20	2.12	2.12		
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	925	21	2.28	2.28	925	21	2.28	2.28	1,773	22	1.23	1.23		
D	INFRASTRUCTURE INVESTMENTS															
	Infrastructure - PSU - Debentures / Bonds	IPTD	872	21	2.46	2.46	872	21	2.46	2.46	2,000	20	0.98	0.98		
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	490	13	2.57	2.57	490	13	2.57	2.57	488	3	0.65	0.65		
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	642	51	7.94	7.94		
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	721	31	4.33	4.33	721	31	4.33	4.33	-	-	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	239	20	8.51	8.51	239	20	8.51	8.51	1,437	146	10.16	10.16		
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,111	202	18.17	18.17	1,111	202	18.17	18.17	2,342	20	0.86	0.86		
	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,972	371	12.48	12.48	2,972	371	12.48	12.48	2,390	270	11.31	11.31		
	Infrastructure - Other Corporate Securities - CPs	ICCP	282	6	1.99	1.99	282	6	1.99	1.99	498	3	0.70	0.70		
	Infrastructure - PSU - CPs	JPCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS															
	PSU - Equity shares - Quoted	EAEQ	6,844	983	14.36	14.36	6,844	983	14.36	14.36	9,166	444	4.84	4.84		
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	80,254	6,203	7.73	7.73	80,254	6,203	7.73	7.73	107,500	423	0.39	0.39		
	Corporate Securities - Bonds - (Taxable)	EPBT	1,107	25	2.27	2.27	1,107	25	2.27	2.27	1,358	14	1.03	1.03		
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCL, RBI	ECDB	1,564	37	2.35	2.35	1,564	37	2.35	2.35	2,890	68	2.35	2.35		
	Deposits - CDs with Scheduled Banks	EDCD	1,442	2	0.13	0.13	1,442	2	0.13	0.13	310	7	2.13	2.13		
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	349	8	2.25	2.25	349	8	2.25	2.25	1,028	10	0.96	0.96		
	Commercial Papers	ECCP	1,917	41	2.16	2.16	1,917	41	2.16	2.16	1,054	23	2.14	2.14		
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,058	43	2.07	2.07	2,058	43	2.07	2.07	1,724	36	2.10	2.10		
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	550	1	0.14	0.14	550	1	0.14	0.14	600	0	0.05	0.05		
	Net Current Assets (Only in respect of ULIP Business)	ENCA	676	-	-	-	676	-	-	-	1,598	-	-	-	-	-
F	OTHER INVESTMENTS															
	Equity Shares (incl Co-op Societies)	OESH	7,744	1,407	18.17	18.17	7,744	1,407	18.17	18.17	7,709	295	3.82	3.82		
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	500	12	2.32	2.32		
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,515	30	1.98	1.98	1,515	30	1.98	1.98	993	20	2.06	2.06		
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	15	5	30.40	30.40	15	5	30.40	30.40	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	2,534	297	11.72	11.72	2,534	297	11.72	11.72	8,864	145	1.64	1.64		
	Debentures	OLDB	671	18	2.64	2.64	671	18	2.64	2.64	-	-	-	-	-	-
	TOTAL		143,315	10,021			143,315	10,021			184,536	628				

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Jun-16

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

Signature: _____

Mr. Rajeev Kumar
Chief of Finance