

Form 'L-26 - INVESTMENT ASSETS (LIFE INSURERS) - 3A

Company Name & Code: Bharti Axa Life Insurance Co. Ltd. (0130)

PART - A

Statement as on : September 30, 2016

Statement of Investment Assets (Life Insurers) (Business within India)

Periodicity of Submission : Quarterly



Total Application as per Balance Sheet (A)			577,974	Reconciliation of Investment Assets			
Add (B)				Total Investment Assets (as per Balance Sheet)			334,766
Provisions	Sch-14	1,189		Balance Sheet Value of:			
Current Liabilities	Sch-13	22,753		A. Life Fund		163,892	
		<u>23,942</u>		B. Pension & Gen Annuity Fund		28,806	
Less (C)				C. Unit Linked Funds		142,068	
Debit Balance in P&L A/c		239,279				<u>334,766</u>	
Deferred tax asset		-					
Loans	Sch-09	125					
Adv & Other Assets	Sch-12	23,350					
Cash & Bank Balance	Sch-11	3,092					
Fixed Assets	Sch-10	1,305					
Misc Exp. Not Written Off/Dr balance	Sch-15	-					
		<u>267,151</u>					
Funds available for Investments			<u>334,766</u>				

NON - LINKED BUSINESS

Rs.Lakhs

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value	
		Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR						
		(a)	(b)	(c)	(d)	(e)						F=[b+c+d+e]
1	Central Govt. Sec	Not Less than 25%	-	6,547	2,063	36,995	6,374	51,978	32	-	51,978	57,276
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 50%	-	12,671	2,384	55,752	12,883	83,690	51	-	83,690	91,056
3	Investment subject to Exposure Norms		-	-	-	-	-	-	-	-	-	-
a.	Housing & Infrastructure	Not Less than 15%	-	-	-	-	-	-	-	-	-	-
	1. Approved Investments		-	6,812	245	20,112	5,560	32,729	20	(40)	32,689	35,353
	2. Other Investments		-	-	-	154	-	154	0	(15)	139	139
b. i)	Approved Investments	Not exceeding 35%	-	3,813	434	27,332	6,075	37,654	23	1,049	38,703	40,230
	ii) "Other Investments" not to exceed 15%		-	823	24	5,636	1,994	8,477	5	194	8,670	8,791
	TOTAL LIFE FUND	100%	-	24,119	3,088	108,986	26,512	162,704	100	1,188	163,892	175,570

Rs.Lakhs

B. PENSION AND GENERAL ANNUITY FUND*	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value	
		PAR	NON PAR						
1	Central Govt. Sec	Not Less than 20%	318	5,683	6,001	21	0	6,001	6,536
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 40%	366	11,846	12,213	42	0	12,213	13,096
3	Balance in Approved investment	Not Exceeding 60%	76	16,520	16,596	58	(2)	16,594	17,607
	TOTAL PENSION, GENERAL ANNUITY FUND	100%	442	28,366	28,809	100	(2)	28,806	30,703

LINKED BUSINESS

Rs.Lakhs

C. LINKED FUNDS	% as per Reg	PH		Total Fund	Actual %	Market Value
		PAR	NON PAR			
1	Approved Investment	Not Less than 75%	-	132,798	93	132,798
2	Other Investments	Not More than 25%	-	9,271	7	9,271
	TOTAL LINKED INSURANCE FUND	100%	-	142,068	100	142,068

CERTIFICATION:

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-16

Signature: _____
 Mr. Rajeev Kumar
 Chief of Finance

Note: (+) FRSM refers to 'Funds representing Solvency Margin'
 Funds beyond Solvency Margin shall have a separate Custody Account.
 Other Investments* are as permitted under Section 27A(2) of Insurance Act, 1938
 Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
 *Group Term Life has been classified under PGA from 1st April 2013