

Form L-27 - Unit Linked Business - 3A (Linked Life)

Unit Linked Insurance Business Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (130)

Periodicity of Submission : Quarterly

Statement as on : September 30, 2016

PART - B

Unit Linked Life



Rs. Lakhs

PARTICULARS	NAME OF THE BUSINESS:														TOTAL FUNDS
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	BUILD N PROTECT FUND SERIES 2	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND			
SFIN	ULIF00221/08/2006EGROWMO NEY130	ULIF00121/08/2006BSAVENG ROW130	ULIF00321/08/2006DSTDYMOENY130	ULIF00708/12/2008EGROWT HOPR130	ULIF00919/05/2009BBUILD N PS1130	ULIF01007/07/2009LSAFEM ONEY130	ULIF01214/12/2009EGRO MONYPL130	ULIF01614/12/2009EGROW THOPPL130	ULIF01909/02/2010EBUIDINDIA130	ULIF02022/06/2009BBUIDLNPS2130	ULIF02104/10/2010BTRU EWLTHG130	ULIF02219/01/2011DDIS CONTLF130			
Opening Balance (Market Value)	38,647	7,349	4,309	4,751	453	2,086	13,861	20,555	3,434	14,216	15,212			124,873	
Add : Inflow during The Quarter	736	251	565	217	12	312	553	1,026	128	110	763			4,673	
Increase/(Decrease) Value of Inv (Net)	3,036	419	224	376	22	33	1,150	1,722	248	760	476			8,466	
Less: Outflow during the Quarter	3,527	1,281	404	593	60	406	1,395	1,982	396	1,342	1,957			13,344	
TOTAL INVESTIBLE FUNDS (MKT VALUE)	38,893	6,738	4,694	4,751	428	2,024	14,168	21,321	3,414	13,744	14,495			124,668	

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		BUILD N PROTECT FUND SERIES 2		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		TOTAL FUNDS			
	ULIF00221/08/2006EGROWMO NEY130		ULIF00121/08/2006BSAVENG ROW130		ULIF00321/08/2006DSTDYMOENY130		ULIF00708/12/2008EGROWT HOPR130		ULIF00919/05/2009BBUILD N PS1130		ULIF01007/07/2009LSAFEM ONEY130		ULIF01214/12/2009EGRO MONYPL130		ULIF01614/12/2009EGROW THOPPL130		ULIF01909/02/2010EBUIDINDIA130		ULIF02022/06/2009BBUIDLNPS2130		ULIF02104/10/2010BTRU EWLTHG130		ULIF02219/01/2011DDIS CONTLF130					
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																												
Central Govt Securities	-	-	2,297	34.1	2,733	58.2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,630	48.2	7,621	52.6	19,281	15.5		
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4,799	34.9	-	-	4,799	3.8		
Other Approved Securities	-	-	-	-	-	-	-	-	424	99.2	-	-	-	-	-	-	-	-	-	-	386	2.8	9	0.1	820	0.7		
Corporate Bonds	-	-	649	9.6	836	17.8	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,486	1.2	
Infrastructure Bonds	-	-	811	12.0	611	13.0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	102	0.7	1,524	1.2		
Equity	34,773	89.4	2,219	32.9	-	-	4,244	89.3	-	-	-	-	12,640	89.2	18,705	87.7	3,094	90.6	-	-	1,314	9.6	-	-	76,989	61.8		
Money Market	-	-	-	-	-	-	-	-	-	-	1,291	63.8	-	-	-	-	-	-	-	-	-	-	3,058	21.1	4,349	3.5		
Mutual Funds	1,488	3.8	243	3.6	62	1.3	118	2.5	3	0.7	97	4.8	599	4.2	618	2.9	106	3.1	-	-	260	1.9	682	4.7	4,277	3.4		
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	368	18.2	-	-	-	-	-	-	-	-	-	-	990	6.8	1,358	1.1		
Sub Total (A)	36,261	93.23	6,220	92.32	4,242	90.4	4,362	91.82	427	99.84	1,756	86.8	13,238	93.4	19,324	90.6	3,201	93.8	-	-	13,389	97.4	12,462	86.0	114,882	92.2		
Current Assets:																												
Accrued Interest	(0)	(0.0)	100	1.5	135	2.9	(0)	(0.0)	6	1.4	24	1.2	0	0.0	(0)	(0.0)	0	0.0	-	-	256	1.9	356	2.5	878	0.7		
Dividend Income	18	0.0	0	0.0	-	-	3	0.1	-	-	7	0.0	11	0.1	3	0.1	-	-	-	-	0	0.0	-	-	43	0.0		
Bank Balance	39	0.1	0	0.0	0	0.0	4	0.1	0	0.0	0	0.0	43	0.3	50	0.2	3	0.1	-	-	7	0.1	300	2.1	446	0.4		
Receivable for Sale of Investments	349	0.9	13	0.2	(0)	(0.0)	46	1.0	-	-	-	-	132	0.9	161	0.8	32	0.9	-	-	126	0.9	-	-	859	0.7		
Other Current Assets (for Investments)	-	-	9	0.1	13	0.3	-	-	-	-	3	0.1	22	0.2	29	0.1	-	-	-	-	-	-	-	-	76	0.1		
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
Less: Current Liabilities																												
Payable for Investments	131	0.3	0	0.0	-	-	34	0.7	-	-	-	-	85	0.6	149	0.7	13	0.4	-	-	50	0.4	-	-	461	0.4		
Fund Mgmt Charges Payable	2	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0	1	0.0	0	0.0	-	-	1	0.0	0	0.0	5	0.0		
Other Current Liabilities (for Investments)	81	0.2	(0)	(0.0)	-	-	15	0.3	5	1.2	-	-	0	0.0	(0)	(0.0)	6	0.2	-	-	48	0.4	30	0.2	186	0.1		
Sub Total (B)	193	0.50	122	1.81	148	3.2	4	0.09	1	0.16	27	1.3	117	0.8	102	0.5	19	0.5	-	-	291	2.1	626	4.3	1,649	1.3		
Other Investments (<=25%)																												
Corporate Bonds	-	-	272	4.0	304	6.5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	576	0.5	
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
Equity	1,847	4.7	123	1.8	-	-	384	8.1	-	-	-	-	705	5.0	1,896	8.9	194	5.7	-	-	64	0.5	-	-	5,214	4.2		
Money Market	592	1.5	-	-	-	-	-	-	-	-	241	11.9	107	0.8	-	-	-	-	-	-	0	0.0	1,407	9.7	2,347	1.9		
Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
Sub Total (C)	2,439	6.27	396	5.87	304	6.5	384	8.09	-	-	241	11.9	813	5.7	1,896	8.9	194	5.7	-	-	64	0.5	1,407	9.7	8,137	6.5		
Total (A + B + C)	38,893	100.0	6,738	100.0	4,694	100.0	4,751	100.0	428	100.0	2,024	100.0	14,168	100.0	21,321	100.0	3,414	100.0	-	-	13,744	100.0	14,495	100.0	124,668	100.0		

Date : 30-Sep-16

- Note:**
- The aggregate of all the above Segregated Unit-Funds should tally with Item C of FORM 3A (Part A), for both Par & Non Par Business
 - Details of Item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
 - Other Investments are as permitted under Sec 27A(2) and 27B(3)

Signature: _____
 Rajeev Kumar
 Chief Financial Officer

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : September 30, 2016

PART - B



Unit Linked Pension

Rs.Lakhs

PARTICULARS	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		Total of All Funds	
	ULIF00526/12/2007EGROWMO NYP130	ULIF00426/12/2007BSNG ROWPEN130	ULIF00626/12/2007DSTD YMONYP130	ULIF00814/12/2008EGRW THOPRP130	ULIF01107/12/2009LS AFEMONY130	ULIF01501/01/2010EGR MONYPLP130	ULIF01704/01/2010EUB ILDINDP130	ULIF01801/01/2010EGR WTHOPLP130										
Opening Balance (Market Value)	5,581	1,070	956	613	401	3,385	1,627	4,758									18,391	
Add : Inflow during The Quarter	106	55	67	124	75	146	31	217									822	
Increase/(Decrease) Value of Inv (Net)	461	62	46	49	6	271	120	371									1,385	
Less: Outflow during the Quarter	518	189	159	146	215	759	293	920									3,199	
TOTAL INVESTIBLE FUNDS (MKT VALUE)	5,630	998	910	640	339	2,972	1,484	4,426									17,400	

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND		
	ULIF00526/12/2007EGROWMO NYP130	ULIF00426/12/2007BSNG ROWPEN130	ULIF00626/12/2007DSTD YMONYP130	ULIF00814/12/2008EGRW THOPRP130	ULIF01107/12/2009LS AFEMONY130	ULIF01501/01/2010EGR MONYPLP130	ULIF01704/01/2010EUB ILDINDP130	ULIF01801/01/2010EGR WTHOPLP130											
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	
Approved Investments (>=75%)																			
Central Govt Securities	-	-	189	18.9	544	59.7	-	-	-	-	-	-	-	-	-	-	-	733	4.2
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	-	-	44	4.4	184	20.3	-	-	-	-	-	-	-	-	-	-	-	229	1.3
Infrastructure Bonds	-	-	159	15.9	110	12.1	-	-	-	-	-	-	-	-	-	-	-	269	1.5
Equity	5,074	90.1	418	41.9	-	-	577	90.1	-	-	2,675	90.0	1,370	92.3	3,949	89.2	-	14,063	80.8
Money Market	-	-	-	-	-	-	-	-	313	92.4	-	-	-	-	-	-	-	313	1.8
Mutual Funds	196	3.5	45	4.5	15	1.6	13	2.0	2	0.6	98	3.3	43	2.9	92	2.1	-	504	2.9
Deposit with Banks	-	-	-	-	-	-	-	-	20	5.9	-	-	-	-	-	-	-	20	0.1
Sub Total (A)	5,270	93.6	855	85.6	853	93.7	590	92.1	335	98.9	2,773	93.3	1,413	95.2	4,042	91.3	16,130	92.7	
Current Assets:																			
Accrued Interest	(0)	(0.0)	13	1.3	25	2.8	0	0.0	1	0.3	(0)	(0.0)	(0)	(0.0)	(0)	(0.0)	40	0.2	
Dividend Income	3	0.0	0	0.0	-	-	0	0.1	-	-	1	0.0	1	0.1	2	0.1	8	0.0	
Bank Balance	16	0.3	0	0.0	0	0.0	3	0.4	0	0.0	70	2.3	2	0.1	37	0.8	127	0.7	
Receivable for Sale of Investment	50	0.9	4	0.4	0	0.0	4	0.7	-	-	61	2.1	17	1.1	34	0.8	170	1.0	
Other Current Assets (for Invest)	-	-	-	-	1	0.1	-	-	2	0.7	-	-	-	-	-	-	3	0.0	
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Current Liabilities																			
Payable for Investments	24	0.4	0	0.0	0	0.0	2	0.3	-	-	13	0.4	3	0.2	31	0.7	73	0.4	
Fund Mgmt Charges Payable	0	0.0	0	0.0	0	0.0	0	0.0	0.01	0.0	0	0.0	0	0.0	0	0.0	1	0.0	
Other Current Liabilities (for Inv)	5	0.1	1	0.1	-	-	3	0.4	-	-	105	3.5	17	1.2	6	0.1	137	0.8	
Sub Total (B)	38	0.7	16	1.6	26	2.8	3	0.4	4	1	14.6	0	(1)	(0.0)	36	0.8	136	0.8	
Other Investments (<=25%)																			
Corporate Bonds	-	-	84	8.4	31	3.5	-	-	-	-	-	-	-	-	-	-	-	115	0.7
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Equity	274	4.9	17	1.7	-	-	48	7.5	-	-	137	4.6	72	4.9	349	7.9	897	5.2	
Money Market	48	0.9	26	2.6	0	0.0	-	-	0	0.0	47	1.6	-	-	-	-	121	0.7	
Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub Total (C)	322	5.7	127	12.7	31	3.5	48	7.5	0	0.0	184	6.2	72	4.9	349	7.9	1,133	6.5	
Total (A + B + C)	5,630	100.0	998	100.0	910	100.0	640	100.0	339	100.0	2,972	100.0	1,484	100.0	4,426	100.0	17,400	100.0	

Date: 30-Sep-16

Note:

- The aggregate of all the above Segregated Unit-Fundsshould tally with Item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____
Rajeev Kumar
Chief Financial Officer