

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³						
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²			
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	48,912	1,068	2.18	2.18	47,405	2,036	4.29	4.29	29,406	1,247	4.24	4.24	-	-	-
3	Central Government Guaranteed Loans / Bonds	CGSL	1,508	37	2.46	2.46	1,509	73	4.83	4.83	1,915	92	4.80	4.80	-	-	-
4	Treasury Bills	CTRB	725	4	0.52	0.52	627	6	0.96	0.96	932	33	3.53	3.53	-	-	-
5	State Government Securities	SGGB	27,705	611	2.21	2.21	25,178	1,095	4.35	4.35	18,211	786	4.32	4.32	-	-	-
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	11,179	276	2.47	2.47	10,145	490	4.83	4.83	5,535	268	4.84	4.84	-	-	-
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	9,943	233	2.34	2.34	8,505	390	4.58	4.58	2,873	129	4.48	4.48	-	-	-
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	500	31	6.15	6.15	500	44	8.82	8.82	500	27	5.39	5.39	-	-	-
14	Infrastructure - Equity (including unlisted)	IOEQ	121	29	23.77	23.77	128	50	38.85	38.85	140	0	0.20	0.20	-	-	-
15	Infrastructure - Equity (Promoter Group)	IOPE	52	1	1.28	1.28	53	1	1.26	1.26	77	-	-	-	-	-	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	128	1	0.42	0.42	82	1	0.69	0.69	39	1	1.88	1.88	-	-	-
17	Infrastructure - PSU - Debentures / Bonds	IPTD	7,147	197	2.76	2.76	7,935	393	4.95	4.95	5,804	272	4.69	4.69	-	-	-
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	621	628	101.06	101.06	791	629	79.60	79.60	184	6	3.51	3.51	-	-	-
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	422	21	4.89	4.89	334	21	6.17	6.17	51	2	3.76	3.76	-	-	-
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
21	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	2,025	46	2.25	2.25	2,025	90	4.47	4.47	1,000	44	4.44	4.44	-	-	-
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	15,318	993	6.48	6.48	13,110	1,181	9.01	9.01	11,104	317	2.86	2.86	-	-	-
23	PSU - Equity shares - Quoted	EAEQ	1,747	33	1.90	1.90	1,473	16	1.10	1.10	1,291	28	2.18	2.18	-	-	-
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCL, RBI	ECDB	2,382	78	3.28	3.28	2,416	155	6.43	6.43	2,527	152	6.00	6.00	-	-	-
27	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
28	Corporate Securities - Debentures	ECOS	13,542	326	2.41	2.41	13,346	640	4.79	4.79	10,085	494	4.90	4.90	-	-	-
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	1,880	2	0.09	0.09	-	-	-	-	-	-	-
30	Corporate Securities - Bonds - (Taxable)	EPBT	2,185	50	2.28	2.28	1,993	90	4.53	4.53	300	14	4.82	4.82	-	-	-
31	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	726	13	1.74	1.74	1,411	53	3.76	3.76	1,776	69	3.88	3.88	-	-	-
32	Passively Managed Equity ETF (Non Promoter Group)	EETF	696	-	-	-	696	-	-	-	9	3	30.36	30.36	-	-	-
33	Mutual Funds - (under Insurer's Promoter Group)	EMPG	1,425	4	0.30	0.30	1,452	14	0.96	0.96	1,321	4	0.27	0.27	-	-	-
34	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	500	28	5.52	5.52	-	-	-
35	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
36	Passively Managed Equity ETF (Non Promoter Group)	OETF	647	-	-	-	636	19	3.06	3.06	667	-	-	-	-	-	-
37	Equity Shares (incl Co-op Societies)	OESH	2,499	151	6.04	6.04	1,928	110	5.69	5.69	2,007	309	15.38	15.38	-	-	-
38	Debentures	OLDB	2,436	58	2.37	2.37	2,436	116	4.76	4.76	2,421	37	1.52	1.52	-	-	-
39	Short term Loans (Unsecured Deposits)	OSLU	2,800	72	2.56	2.56	2,800	140	5.01	5.01	2,467	114	4.63	4.63	-	-	-
40	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	697	1	0.14	0.14	-	-	-	-	-	-	-
41	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
42	Debt Capital Instruments (DCI Basel III)	ODCI	500	14	2.77	2.77	500	28	5.50	5.50	-	-	-	-	-	-	-
43	Preference Shares	OPSH	89	-	-	-	88	0	0.00	0.00	80	-	-	-	-	-	-
	TOTAL		157,982	3,659			152,078	6,526			104,235	4,485					

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-16

Signature

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2016

Name of the Fund Pension / General Annuity Fund



Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	306	14	4.56	4.56
2	Central Government Bonds	CGSB	5,897	122	2.07	2.07	5,791	241	4.16	4.16	4,162	170	4.08	4.08
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	951	23	2.45	2.45
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	State Government Securities	SGGB	5,850	121	2.07	2.07	5,653	234	4.15	4.15	2,853	120	4.20	4.20
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	219	1	0.63	0.63	217	6	2.88	2.88	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	2,272	74	3.25	3.25	2,673	145	5.43	5.43	1,733	82	4.75	4.75
9	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	6,733	149	2.21	2.21	5,519	243	4.41	4.41	1,205	28	2.36	2.36
10	Infrastructure - PSU - Debentures / Bonds	IPTD	2,339	58	2.49	2.49	2,449	124	5.06	5.06	1,406	64	4.55	4.55
11	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	8	1	6.43	6.43
13	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	232	16	6.91	6.91	256	21	8.06	8.06	458	22	4.70	4.70
14	PSU - Equity shares - Quoted	EAEQ	65	7	10.10	10.10	63	7	11.04	11.04	76	1	1.27	1.27
15	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	479	21	4.37	4.37
16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,383	41	2.98	2.98	1,383	81	5.85	5.85	1,897	97	5.13	5.13
17	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
18	Corporate Securities - Debentures	ECOS	2,411	69	2.84	2.84	2,689	140	5.20	5.20	2,497	123	4.92	4.92
19	Deposits - CDs with Scheduled Banks	EDCD	100	-	-	-	100	0	0.10	0.10	161	7	4.16	4.16
20	Corporate Securities - Bonds - (Taxable)	EPBT	949	21	2.24	2.24	949	42	4.40	4.40	451	22	4.84	4.84
21	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	174	3	1.76	1.76	135	5	3.43	3.43	70	3	3.64	3.64
22	Mutual Funds - (under Insurer's Promoter Group)	EMPG	288	0	0.14	0.14	161	1	0.32	0.32	53	0	0.14	0.14
23	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
24	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
25	Equity Shares (incl. Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
26	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
27	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
29	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
30	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		28,913	682			28,037	1,289			18,766	796		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-16

Signature _____

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFNI) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: September 30, 2016

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	21,792	1,036	4.76	4.76	22,070	1,639	7.42	7.42	24,002	1,035	4.31	4.31
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	3,006	51	1.69	1.69	2,974	101	3.40	3.40	2,750	110	4.00	4.00
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	810	43	5.26	5.26	799	63	7.87	7.87	868	32	3.68	3.68
	State Government Bonds	SGGB	4,523	116	2.57	2.57	4,523	116	2.57	2.57	-	-	-	-
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	544	11	2.10	2.10	463	20	4.32	4.32	972	41	4.22	4.22
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	925	22	2.38	2.38	925	43	4.65	4.65	1,622	74	4.58	4.58
D	INFRASTRUCTURE INVESTMENTS													
	Infrastructure - PSU - Debentures / Bonds	IPTD	500	22	4.34	4.34	685	43	6.30	6.30	1,717	80	4.65	4.65
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	498	22	4.46	4.46	494	35	7.04	7.04	487	23	4.66	4.66
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	480	100	20.92	20.92
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	528	95	17.94	17.94	624	63	10.16	10.16	1,001	217	21.72	21.72
	Infrastructure - Equity (Promoter Group)	IOPE	152	11	7.35	7.35	195	9	4.72	4.72	862	90	10.50	10.50
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	951	112	11.80	11.80	1,031	90	8.70	8.70	2,412	40	1.67	1.67
	Infrastructure - PSU - Equity shares - Quoted	ITPE	3,559	362	10.16	10.16	3,267	733	22.42	22.42	1,944	366	18.83	18.83
	Infrastructure - Other Corporate Securities - CPs	ICCP	121	3	2.16	2.16	200	8	4.12	4.12	498	3	0.70	0.70
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	-	-	-	-	-	-	-	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	7,174	1,275	17.77	17.77	7,010	2,258	32.21	32.21	9,138	117	1.28	1.28
	Application Money	ECAM	-	-	-	-	-	-	-	-	1	-	-	-
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	81,646	6,329	7.75	7.75	80,954	12,533	15.48	15.48	103,403	1,420	1.37	1.37
	Corporate Securities - Bonds - (Taxable)	EPBT	831	49	5.85	5.85	968	74	7.61	7.61	1,358	78	5.74	5.74
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,461	35	2.42	2.42	1,512	72	4.77	4.77	2,724	129	4.73	4.73
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	1,442	2	0.13	0.13	314	13	4.25	4.25
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	733	41	5.59	5.59	542	49	9.00	9.00	809	33	4.06	4.06
	Commercial Papers	ECCP	972	21	2.15	2.15	1,442	62	4.32	4.32	1,285	56	4.33	4.33
	Passively Managed Equity ETF (Non Promoter Group)	EETF	3,626	111	3.06	3.06	3,626	111	3.06	3.06	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,158	21	1.83	1.83	1,606	64	3.97	3.97	1,772	72	4.07	4.07
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	550	1	0.14	0.14	274	0	0.11	0.11
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	1,104	-	-	-
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	7,548	609	8.06	8.06	7,646	2,016	26.36	26.36	7,371	266	3.60	3.60
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	500	24	4.75	4.75
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	1,147	21	1.82	1.82	1,330	51	3.83	3.83	997	40	3.98	3.98
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	15	0	0.00	0.00	15	5	30.40	30.40	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	3,801	371	9.75	9.75	3,171	668	21.05	21.05	8,664	346	3.99	3.99
	Debentures	OLDB	684	37	5.42	5.42	678	55	8.09	8.09	666	5	0.81	0.81
	TOTAL		148,704	10,414			150,740	20,435			179,996	853		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 30-Sep-16

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

Signature: _____

Mr. Rajeev Kumar

Chief of Finance