

Form L-27 - Unit Linked Business - 3A (Linked Life)

Unit Linked Insurance Business
 Company Name & Code: Bharti AXA Life Insurance Co. Ltd. (130)
 Periodicity of Submission : Quarterly
 Statement as on : December 31, 2016

Link to Item 'C' of FORM 3A (Part A)

PART - B



Unit Linked Life

Rs.Lakhs

PARTICULARS	NAME OF THE BUSINESS:													TOTAL FUNDS
	GROW MONEY FUND	SAVE N GROW MONEY FUND	STEADY MONEY FUND	GROWTH OPPORTUNITIES	BUILD N PROTECT FUND SERIES 1	SAFE MONEY FUND	GROW MONEY PLUS	GROWTH OPPORTUNITIES PLUS	BUILD INDIA FUND	BUILD N PROTECT FUND SERIES 2	TRUE WEALTH FUND	DISCONTINUANCE LIFE FUND		
SFIN	ULIF00221/08/2006EGROWMO NEY130	ULIF00121/08/2006BSAVENG ROW130	ULIF00321/08/2006DSTDY MOENY130	ULIF00708/12/2008EGROW THOPR130	ULIF00919/05/2009BBUILD NPS1130	ULIF01007/07/2009LSAFEM ONEY130	ULIF01214/12/2009EGR OMONYPL130	ULIF01614/12/2009EGRW THOPPL130	ULIF01909/02/2010EBUI LDINDA130	ULIF02022/06/2009BBUI LDNPS2130	ULIF02104/10/2010BTR UEWLTHG130	ULIF02219/01/2011DDIS CONTLF130		
Opening Balance (Market Value)	38,893	6,738	4,694	4,751	428	2,024	14,168	21,321	3,414		13,744	14,495	124,668	
Add : Inflow during The Quarter	736	201	243	172	8	320	390	732	140		46	865	3,852	
Increase/(Decrease) Value of Inv (Net)	(2,589)	(441)	123	(331)	10	31	(892)	(1,489)	(159)		120	296	(4,921)	
Less: Outflow during the Quarter	2,361	471	454	394	50	367	767	1,092	265		1,266	2,135	9,620	
TOTAL INVESTIBLE FUNDS (MKT VALUE)	34,680	6,428	4,605	4,198	395	2,008	12,899	19,472	3,129		12,644	13,521	113,979	

INVESTMENT OF UNIT FUND	GROW MONEY FUND		SAVE N GROW MONEY FUND		STEADY MONEY FUND		GROWTH OPPORTUNITIES		BUILD N PROTECT FUND SERIES 1		SAFE MONEY FUND		GROW MONEY PLUS		GROWTH OPPORTUNITIES PLUS		BUILD INDIA FUND		BUILD N PROTECT FUND SERIES 2		TRUE WEALTH FUND		DISCONTINUANCE LIFE FUND		TOTAL FUNDS		
	ULIF00221/08/2006EGROWMO NEY130		ULIF00121/08/2006BSAVENG ROW130		ULIF00321/08/2006DSTDY MOENY130		ULIF00708/12/2008EGROW THOPR130		ULIF00919/05/2009BBUILD NPS1130		ULIF01007/07/2009LSAFEM ONEY130		ULIF01214/12/2009EGR OMONYPL130		ULIF01614/12/2009EGRW THOPPL130		ULIF01909/02/2010EBUI LDINDA130		ULIF02022/06/2009BBUI LDNPS2130		ULIF02104/10/2010BTR UEWLTHG130		ULIF02219/01/2011DDIS CONTLF130				
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	
Approved Investments (>=75%)																											
Central Govt Securities	-	-	1,629	25.3	1,989	43.2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,042	47.8	7,849	58.1	17,509	15.4	
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4,821	38.1	-	-	4,821	4.2	
Other Approved Securities	-	-	-	-	-	-	-	-	390	98.6	-	-	-	-	-	-	-	-	-	-	176	1.4	71	0.5	637	0.6	
Corporate Bonds	-	-	611	9.5	688	14.9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,299	1.1
Infrastructure Bonds	-	-	1,105	17.2	1,006	21.8	-	-	-	-	106	5.3	-	-	-	-	-	-	-	-	-	-	121	0.9	2,338	2.1	
Equity	30,849	89.0	2,078	32.3	-	-	3,668	87.4	-	-	-	-	11,553	89.6	16,902	86.8	2,857	91.3	-	-	315	2.5	-	-	68,220	59.9	
Money Market	-	-	-	-	-	-	-	-	-	-	1,612	80.3	-	-	-	-	-	-	-	-	-	-	2,969	22.0	4,581	4.0	
Mutual Funds	1,557	4.5	288	4.5	207	4.5	168	4.0	1	0.3	90	4.5	578	4.5	871	4.5	68	2.2	-	-	571	4.5	609	4.5	5,008	4.4	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	189	1.4	189	0.2	
Sub Total (A)	32,405	93.44	5,711	88.85	3,890	84.5	3,835	91.37	391	98.97	1,808	90.0	12,130	94.0	17,772	91.3	2,925	93.5			11,925	94.3	11,809	87.3	104,603	91.8	
Current Assets:																											
Accrued Interest	(0)	(0.0)	101	1.6	108	2.3	(0)	(0.0)	4	1.0	0	0.0	0	0.0	(0)	(0.0)	0	0.0	-	-	113	0.9	112	0.8	437	0.4	
Dividend Income	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Bank Balance	49	0.1	0	0.0	0	0.0	40	1.0	0	0.0	0	0.0	41	0.3	42	0.2	29	0.9	-	-	64	0.5	300	2.2	565	0.5	
Receivable for Sale of Investments	228	0.7	-	-	(0)	(0.0)	12	0.3	-	-	-	-	77	0.6	40	0.2	8	0.2	-	-	8	0.1	-	-	372	0.3	
Other Current Assets (for Investments)	-	-	1	0.0	3	0.1	-	-	0	0.1	-	-	20	0.2	6	0.0	-	-	-	-	-	-	-	-	-	30	0.0
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Current Liabilities																											
Payable for Investments	143	0.4	0	0.0	-	-	0	0.0	-	-	-	-	53	0.4	0	0.0	0	0.0	-	-	-	-	-	-	-	197	0.2
Fund Mgmt Charges Payable	3	0.0	1	0.0	0	0.0	0	0.0	0	0.0	0.1	0.0	1	0.0	2	0.0	0	0.0	-	-	1	0.0	0	0.0	9	0.0	
Other Current Liabilities (for Investments)	15	0.0	(0)	(0.0)	-	-	1	0.0	-	-	3	0.2	0	0.0	(0)	(0.0)	11	0.4	-	-	17	0.1	29	0.2	76	0.1	
Sub Total (B)	116	0.33	101	1.57	110	2.4	50	1.19	4	1.03	(3)	(0.2)	84	0.7	86	0.4	25	0.8			166	1.3	383	2.8	1,123	1.0	
Other Investments (<=25%)																											
Corporate Bonds	-	-	274	4.3	305	6.6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	579	0.5
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Equity	1,104	3.2	94	1.5	-	-	312	7.4	-	-	-	-	445	3.4	1,505	7.7	178	5.7	-	-	20	0.2	-	-	3,658	3.2	
Money Market	1,054	3.0	249	3.9	300	6.5	-	-	-	-	203	10.1	240	1.9	108	0.6	-	-	-	-	533	4.2	1,330	9.8	4,017	3.5	
Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub Total (C)	2,158	6.22	616	9.58	605	13.1	312	7.44	-	-	203	10.1	684	5.3	1,613	8.3	178	5.7			553	4.4	1,330	9.8	8,253	7.2	
Total (A + B + C)	34,680	100.0	6,428	100.0	4,605	100.0	4,198	100.0	395	100.0	2,008	100.0	12,899	100.0	19,472	100.0	3,129	100.0			12,644	100.0	13,521	100.0	113,979	100.0	

Date : 31-Dec-16

Note:
 1. The aggregate of all the above Segregated Unit-Fundsshould tally with Item C of FORM 3A (Part A), for both Par & Non Par Business
 2. Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
 3. Other Investments' are as permitted under Sec 27A(2) and 27B(3)

Signature: _____
 Rajeev Kumar
 Chief Financial Officer

Form L-27 - Unit Linked Business - 3A(Linked Pension)

Unit Linked Insurance Business Link to Item 'C' of FORM 3A (Part A)

Company Name & Code: Bharti AXA Life Insurance Co. Ltd.

Periodicity of Submission : Quarterly

Statement as on : December 31, 2016

PART - B



Unit Linked Pension

Rs.Lakhs

PARTICULARS	INVESTMENT OF UNIT FUND										Total of All Funds
	GROW MONEY PENSION FUND	SAVE N GROW MONEY PENSION FUND	STEADY MONEY PENSION FUND	GROWTH OPPORTUNITIES PENSION FUND	SAFE MONEY PENSION FUND	GROW MONEY PENSION PLUS	INVEST N GROW MONEY PENSION FUND	PROTECT N GROW MONEY PENSION	BUILD INDIA PENSION FUND	GROWTH OPPORTUNITIES PENSION PLUS	
SFIN	ULIF00526/12/2007EGROWMO NYP130	ULIF00426/12/2007BSNG ROWPEN130	ULIF00626/12/2007DSTD YMONYP130	ULIF00814/12/2008EGRW THOPRP130	ULIF01107/12/2009LS AFEMONY130	ULIF01501/01/2010EGR MONYPL130	ULIF01307/12/2009BIVNNGROWP130	ULIF01407/12/2009BPRCTNGRP130	ULIF01704/01/2010EBUIDINDP130	ULIF01801/01/2010EGRWTHOPLP130	
Opening Balance (Market Value)	5,630	998	910	640	339	2,972	0	(0)	1,484	4,426	17,400
Add : Inflow during The Quarter	103	13	43	104	55	40	-	-	14	173	546
Increase/(Decrease) Value of Inv (Net)	(358)	(14)	24	(43)	5	(189)	(0)	0	(77)	(315)	(966)
Less: Outflow during the Quarter	303	67	203	151	126	187	-	-	83	318	1,438
TOTAL INVESTIBLE FUNDS (MKT VALUE)	5,071	930	775	551	272	2,636	-	-	1,339	3,967	15,541

INVESTMENT OF UNIT FUND	GROW MONEY PENSION FUND		SAVE N GROW MONEY PENSION FUND		STEADY MONEY PENSION FUND		GROWTH OPPORTUNITIES PENSION FUND		SAFE MONEY PENSION FUND		GROW MONEY PENSION PLUS		INVEST N GROW MONEY PENSION FUND		PROTECT N GROW MONEY PENSION		BUILD INDIA PENSION FUND		GROWTH OPPORTUNITIES PENSION PLUS		TOTAL FUND		
	ULIF00526/12/2007EGROWMO NYP130	ULIF00426/12/2007BSNG ROWPEN130	ULIF00626/12/2007DSTD YMONYP130	ULIF00814/12/2008EGRW THOPRP130	ULIF01107/12/2009LS AFEMONY130	ULIF01501/01/2010EGR MONYPL130	ULIF01307/12/2009BIVNNGROWP130	ULIF01407/12/2009BPRCTNGRP130	ULIF01704/01/2010EBUIDINDP130	ULIF01801/01/2010EGRWTHOPLP130													
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.
Approved Investments (>=75%)																							
Central Govt Securities	-	-	151	16.2	384	49.6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	535	3.4
State Government Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Approved Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate Bonds	-	-	34	3.7	144	18.6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	179	1.2
Infrastructure Bonds	-	-	220	23.6	161	20.8	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	381	2.5
Equity	4,578	90.3	381	41.0	-	-	482	87.5	-	-	2,364	89.7	-	-	-	-	1,240	92.6	3,561	89.8	12,606	81.1	
Money Market	-	-	-	-	-	-	-	-	238	87.5	-	-	-	-	-	-	-	-	-	-	-	238	1.5
Mutual funds	227	4.5	32	3.5	29	3.8	9	1.6	12	4.5	118	4.5	-	-	-	-	32	2.4	101	2.5	560	3.6	
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (A)	4,806	94.8	818	88.0	719	92.9	491	89.0	250	92.0	2,482	94.2	-	-	-	-	1,272	94.9	3,662	92.3	14,500	93.3	
Current Assets:																							
Accrued Interest	(0)	(0.0)	15	1.6	23	3.0	0	0.0	(0)	(0.0)	(0)	(0.0)	-	-	-	-	(0)	(0.0)	(0)	(0.0)	39	0.2	
Dividend Income	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Bank Balance	45	0.9	0	0.0	0	0.0	20	3.7	0	0.0	31	1.2	-	-	-	-	8	0.6	34	0.9	139	0.9	
Receivable for Sale of Investments	30	0.6	-	-	0	0.0	2	0.4	-	-	17	0.6	-	-	-	-	6	0.4	13	0.3	68	0.4	
Other Current Assets (for Investm	2	0.0	0	0.0	1	0.1	0	0.1	0	0.0	-	-	-	-	-	-	-	-	-	-	3	0.0	
Unit Collection A/c	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Appropriation/Expropriation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Less: Current Liabilities																							
Payable for Investments	17	0.3	0	0.0	0	0.0	(0)	(0.0)	-	-	10	0.4	-	-	-	-	0	0.0	0	0.0	27	0.2	
Fund Mgmt Charges Payable	0	0.0	0	0.0	0	0.0	0	0.0	0.02	0.0	0	0.0	-	-	-	-	0	0.0	0	0.0	1	0.0	
Other Current Liabilities (for Invest	0	0.0	-	-	-	-	-	-	-	-	4	0.2	-	-	-	-	18	1.3	11	0.3	32	0.2	
Sub Total (B)	60	1.2	15	1.6	24	3.1	23	4.1	0	0	33.6	1	-	-	-	(3)	(0.3)	36	0.9	188	1.2		
Other Investments (<=25%)																							
Corporate Bonds	-	-	84	9.1	32	4.1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	116	0.7
Infrastructure Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Equity	173	3.4	12	1.3	-	-	38	6.8	-	-	83	3.2	-	-	-	-	71	5.3	270	6.8	647	4.2	
Money Market	33	0.6	-	-	-	-	-	-	22	8.0	37	1.4	-	-	-	-	-	-	-	-	-	91	0.6
Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Deposit with Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (C)	205	4.0	96	10.4	32	4.1	38	6.8	22	8.0	120	4.6	-	-	-	-	71	5.3	270	6.8	853	5.5	
Total (A + B + C)	5,071	100.0	930	100.0	775	100.0	551	100.0	272	100.0	2,636	100.0	-	-	-	-	1,339	100.0	3,967	100.0	15,541	100.0	

Date: 31-Dec-16

Note:

- The aggregate of all the above Segregated Unit-Fund should tally with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of item 12 of FORM LB 2 of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B)
- Other Investments are as permitted under Sec 27A(2) and 27B(3)

Signature: _____
Rajeev Kumar
Chief Financial Officer