

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	1,015	7	0.71	0.71
2	Central Government Bonds	CGSB	56,493	1,257	2.22	2.22	50,445	3,293	6.53	6.53	31,441	1,995	6.35	6.35
3	Central Government Guaranteed Loans / Bonds	CGSL	1,508	38	2.52	2.52	1,509	111	7.34	7.34	1,873	163	8.71	8.71
4	Treasury Bills	CTRB	-	-	-	-	627	6	0.96	0.96	885	35	3.98	3.98
5	State Government Securities	SGGB	32,051	665	2.07	2.07	27,477	1,760	6.41	6.41	18,356	1,188	6.47	6.47
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	14,733	346	2.35	2.35	11,680	837	7.16	7.16	5,699	414	7.27	7.27
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	12,203	275	2.25	2.25	9,742	665	6.82	6.82	3,441	233	6.77	6.77
13	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	500	44	8.82	8.82	500	40	8.09	8.09
14	Infrastructure - Equity (Including unlisted)	IOEQ	74	19	25.34	25.34	118	68	58.02	58.02	132	0	0.26	0.26
15	Infrastructure - Equity (Promoter Group)	IOPE	44	2	3.89	3.89	53	1	1.96	1.96	59	2	4.04	4.04
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	97	30	30.87	30.87	86	29	34.34	34.34	36	1	2.02	2.02
17	Infrastructure - PSU - Debentures / Bonds	IPTD	6,755	155	2.29	2.29	7,540	548	7.26	7.26	5,653	409	7.23	7.23
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	87	42	48.13	48.13	555	671	120.89	120.89	478	6	1.35	1.35
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	447	30	6.61	6.61	372	50	13.49	13.49	75	2	2.61	2.61
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
21	Infrastructure - Long Term Bank Bonds Approved Investment	ILBI	2,024	45	2.22	2.22	2,025	135	6.69	6.69	1,167	78	6.72	6.72
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	8,332	205	2.46	2.46	11,511	1,386	12.04	12.04	11,284	450	3.99	3.99
23	PSU - Equity shares - Quoted	EAEQ	910	86	9.48	9.48	1,284	102	7.98	7.98	1,314	23	1.72	1.72
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCL, RBI	ECDB	2,476	83	3.37	3.37	2,436	239	9.80	9.80	2,519	229	9.09	9.09
27	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
28	Corporate Securities - Debentures	ECOS	18,616	432	2.32	2.32	15,109	1,071	7.09	7.09	10,145	746	7.36	7.36
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	1,880	2	0.09	0.09	-	-	-	-
30	Corporate Securities - Bonds - (Taxable)	EPBT	2,299	53	2.29	2.29	2,096	143	6.82	6.82	321	27	8.51	8.51
31	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	3,221	53	1.65	1.65	2,028	106	5.24	5.24	1,805	105	5.79	5.79
32	Passively Managed Equity ETF (Non Promoter Group)	EETF	675	-	-	-	676	-	-	-	4	3	60.73	60.73
33	Mutual Funds - (under Insurer's Promoter Group)	EMPG	798	3	0.36	0.36	1,244	17	1.35	1.35	1,366	4	0.28	0.28
34	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	500	41	8.28	8.28
35	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	EAPS	2,260	16	0.71	0.71	2,260	16	0.71	0.71	-	-	-	-
36	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	OAPS	500	5	0.96	0.96	500	5	0.96	0.96	-	-	-	-
37	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
38	Passively Managed Equity ETF (Non Promoter Group)	OETF	0	-	-	-	424	19	4.59	4.59	656	-	-	-
39	Equity Shares (incl. Co-op Societies)	OESH	2,671	61	2.29	2.29	2,176	171	7.86	7.86	1,758	352	19.99	19.99
40	Debentures	OLDB	2,435	59	2.41	2.41	2,436	175	7.17	7.17	2,429	95	3.93	3.93
41	Short term Loans (Unsecured Deposits)	OSLU	3,202	81	2.52	2.52	2,935	221	7.53	7.53	2,578	181	7.01	7.01
42	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	820	1	0.15	0.15	767	2	0.28	0.28	-	-	-	-
43	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
44	Debt Capital Instruments (DCI Basel III)	ODCI	500	9	1.81	1.81	500	37	7.31	7.31	-	-	-	-
45	Preference Shares	OPSH	93	-	-	-	89	0	0.00	0.00	81	-	-	-
	TOTAL		176,326	3,865			163,080	10,391			107,572	6,831		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2016

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²

Mr. Rajeev Kumar
Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2016

Name of the Fund Pension / General Annuity Fund



Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)3			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	347	37	10.61	10.61	
2	Central Government Bonds	CGSB	6,213	128	2.06	2.06	5,932	369	6.22	6.22	4,450	272	6.12	6.12
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	951	23	2.45	2.45	
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	
5	State Government Securities	SGGB	6,211	128	2.06	2.06	5,839	362	6.21	6.21	3,369	211	6.26	6.26
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	217	6	2.88	2.88	-	-	-	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,721	40	2.33	2.33	2,355	185	7.87	7.87	2,101	150	7.12	7.12
9	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	7,649	172	2.25	2.25	6,231	415	6.67	6.67	1,503	69	4.62	4.62
10	Infrastructure - PSU - Debentures / Bonds	IPTD	2,252	49	2.16	2.16	2,383	173	7.25	7.25	1,939	150	7.73	7.73
11	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	
12	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	8	1	6.43	6.43	
13	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	125	8	6.49	6.49	212	29	13.54	13.54	463	17	3.58	3.58
14	PSU - Equity shares - Quoted	EAEQ	73	0	0.62	0.62	67	7	11.18	11.18	76	1	1.08	1.08
15	Commercial Papers	ECCP	-	-	-	-	-	-	-	480	24	5.06	5.06	
16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,315	40	3.07	3.07	1,360	121	8.91	8.91	1,893	147	7.79	7.79
17	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	
18	Corporate Securities - Debentures	ECOS	2,268	55	2.43	2.43	2,548	195	7.65	7.65	2,488	182	7.33	7.33
19	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	100	0	0.10	0.10	163	10	6.32	6.32
20	Corporate Securities - Bonds - (Taxable)	EPBT	884	20	2.28	2.28	928	62	6.67	6.67	412	48	11.55	11.55
21	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	468	8	1.64	1.64	252	12	4.90	4.90	92	5	5.48	5.48
22	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	161	1	0.32	0.32	78	0	0.09	0.09
23	Passively Managed Equity ETF (Non Promoter Group)	EETF	0	-	-	-	0	-	-	-	0	-	-	-
24	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
25	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
26	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
27	Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
29	Debentures	OLDB	-	-	-	-	-	-	-	-	0	-	-	-
30	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		29,178	649			28,584	1,938			20,814	1,347		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-16

 Signature _____
 Mr. Rajeev Kumar
 Chief of Finance
Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

FORM L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: December 31, 2016

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund:- Unit Linked Fund



Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³						
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²			
A	GOVERNMENT SECURITIES																
	Central Government Bonds	CGSB	20,986	613	2.92	2.92	21,707	2,251	10.37	10.37	24,285	1,286	5.30	5.30			
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	1,733	28	1.60	1.60	2,559	129	5.04	5.04	2,917	169	5.79	5.79			
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES																
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	693	18	2.61	2.61	764	81	10.61	10.61	839	38	4.52	4.52			
	State Government Bonds	SGGB	4,849	121	2.49	2.49	4,715	237	5.03	5.03	-	-	-	-	-	-	-
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT																
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	478	10	2.07	2.07	468	30	6.39	6.39	976	49	4.98	4.98			
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	735	16	2.19	2.19	861	59	6.87	6.87	1,567	95	6.04	6.04			
D	INFRASTRUCTURE INVESTMENTS																
	Infrastructure - PSU - Debentures / Bonds	IPTD	828	19	2.25	2.25	733	62	8.43	8.43	1,437	60	4.20	4.20			
	Infrastructure / Social Sector - Other Corporate Securities (approved investments) - Debentures / Bonds	ICTD	505	13	2.60	2.60	498	48	9.62	9.62	488	29	6.01	6.01			
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	367	128	35.00	35.00			
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	296	21	7.12	7.12	514	84	16.43	16.43	925	209	22.62	22.62			
	Infrastructure - Equity (Promoter Group)	IOPE	54	3	6.05	6.05	148	12	8.44	8.44	646	132	20.39	20.39			
	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	899	58	6.47	6.47	987	32	3.20	3.20	2,188	115	5.25	5.25			
	Infrastructure - PSU - Equity shares - Quoted	ITPE	4,142	280	6.75	6.75	3,560	1,012	28.43	28.43	1,660	393	23.65	23.65			
	Infrastructure - Other Corporate Securities - CPs	ICCP	124	3	2.17	2.17	174	11	6.27	6.27	498	3	0.70	0.70			
	Infrastructure - PSU - CPs	ICP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Long Term Bank Bonds Approved Investment	ILBI	506	11	2.23	2.23	506	11	2.23	2.23	534	38	7.20	7.20			
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU - Equity shares - Quoted	EAEQ	5,954	31	0.52	0.52	6,657	2,289	34.39	34.39	8,719	0	0.00	0.00			
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	1	-	-	-	-	-
	Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	74,183	6,077	8.19	8.19	78,688	6,456	8.20	8.20	99,425	2,194	2.21	2.21			
	Corporate Securities - Bonds - (Taxable)	EPBT	847	20	2.35	2.35	928	94	10.09	10.09	1,347	97	7.20	7.20			
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	920	23	2.48	2.48	1,314	95	7.22	7.22	2,536	180	7.09	7.09			
	Deposits - CDs with Scheduled Banks	ECD	-	-	-	-	1,442	2	0.13	0.13	317	20	6.31	6.31			
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	680	17	2.55	2.55	588	66	11.24	11.24	656	39	5.97	5.97			
	Commercial Papers	ECCP	1,025	21	2.08	2.08	1,302	84	6.41	6.41	1,371	88	6.44	6.44			
	Passively Managed Equity ETF (Non Promoter Group)	EETF	3,496	235	6.72	6.72	3,502	346	9.88	9.88	0	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,578	27	1.68	1.68	1,596	90	5.65	5.65	1,850	110	5.97	5.97			
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	550	1	0.14	0.14	274	0	0.11	0.11			
	Net Current Assets (Only in respect of ULIP Business)	ENCA	1,311	-	-	-	1,311	-	-	-	2,744	-	-	-	-	-	-
F	OTHER INVESTMENTS																
	Equity Shares (incl Co-op Societies)	OESH	5,689	351	6.17	6.17	6,991	1,665	23.81	23.81	6,895	133	1.93	1.93			
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	500	36	7.20	7.20			
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	2,066	35	1.68	1.68	1,576	86	5.43	5.43	856	51	5.97	5.97			
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	-	-	-	-	15	5	30.40	30.40	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	761	57	7.48	7.48	2,365	611	25.82	25.82	7,928	463	5.85	5.85			
	Debentures	OLDB	695	19	2.72	2.72	684	74	10.79	10.79	668	16	2.34	2.34			
	TOTAL		136,032	5,363			147,704	15,072			175,414	1,097					

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-16

Signature: _____

Mr. Rajeev Kumar

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM-1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.