

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	127,371	3,077	0.02	0.02	116,027	7,539	0.06	0.06	75,454	6,099	0.08	0.08
3	Central Government Guaranteed Loans / Bonds	CGSL	1,505	38	0.03	0.03	1,506	111	0.07	0.07	1,507	111	0.07	0.07
4	Treasury Bills	CTRB	2,408	1	0.00	0.00	2,407	1	0.00	0.00	5,220	30	0.01	0.01
5	State Government Securities	SGGB	52,951	1,069	0.02	0.02	52,648	3,418	0.06	0.06	43,604	2,690	0.06	0.06
6	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	0.00	-
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	0.00	-
8	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	21,591	497	0.02	0.02	16,686	1,155	0.07	0.07	14,193	1,001	0.07	0.07
9	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	0.00	-
10	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	0.00	-
11	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	0.00	-
12	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	33,481	725	0.02	0.02	31,142	2,004	0.06	0.06	17,445	1,270	0.07	0.07
13	Infrastructure - Debentures / Bonds / CPs / Loans	IODS	-	-	-	-	-	-	-	-	-	-	0.00	-
14	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	33	3	0.08	0.08
15	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	0.00	-
16	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	26	1	0.03	0.03	43	12	0.27	0.27	220	27	0.12	0.12
17	Infrastructure - PSU - Debentures / Bonds	IPTD	9,589	205	0.02	0.02	8,657	549	0.06	0.06	5,147	448	0.09	0.09
18	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	58	0	0.01	0.01	114	19	0.17	0.17
19	Infrastructure - PSU - Equity shares - Quoted	ITPE	88	2	0.03	0.03	86	4	0.04	0.04	863	75	0.09	0.09
20	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	0.00	-
21	Infrastructure- Long Term Bank Bonds Approved Investment	ILBI	1,019	23	0.02	0.02	1,020	69	0.07	0.07	1,597	173	0.11	0.11
22	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	11,389	163	0.01	0.01	11,215	967	0.09	0.09	10,865	1,880	0.17	0.17
23	PSU - Equity shares - Quoted	EAEQ	670	41	0.06	0.06	679	85	0.12	0.12	1,326	229	0.17	0.17
24	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	0.00	-
25	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	0.00	-
26	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,619	103	0.04	0.04	2,619	302	0.12	0.12	2,607	274	0.11	0.11
27	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	0.00	-
28	Corporate Securities - Debentures	ECOS	36,473	804	0.02	0.02	35,079	2,310	0.07	0.07	26,220	1,997	0.08	0.08
29	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	0.00	-
30	Corporate Securities - Bonds - (Taxable)	EPBT	3,389	78	0.02	0.02	2,863	194	0.07	0.07	2,459	166	0.07	0.07
31	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	0	-	-	-	1,655	89	0.05	0.05	2,708	131	0.05	0.05
32	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	734	-	0.00	-
33	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	1,798	6	0.00	0.00	1,605	19	0.01	0.01
34	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	-	-	0.00	-
35	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	EAPS	-	-	-	-	-	-	-	-	1,058	49	0.05	0.05
36	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	OAPS	7,836	82	0.01	0.01	3,323	128	0.04	0.04	693	87	0.13	0.13
37	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	0.00	-
38	Passively Managed Equity ETF (Non Promoter Group)	OETF	7	-	-	-	44	113	2.57	2.57	361	206	0.57	0.57
39	Equity Shares (incl. Co-op Societies)	OESH	1,058	183	0.17	0.17	1,383	174	0.13	0.13	2,339	470	0.20	0.20
40	Debentures	OLDB	5,000	76	0.02	0.02	5,000	76	0.02	0.02	2,437	116	0.05	0.05
41	Short term Loans (Unsecured Deposits)	OSLU	3,300	94	0.03	0.03	3,404	284	0.08	0.08	3,800	292	0.08	0.08
42	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	0.00	-
43	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	0.00	-
44	Debt Capital Instruments (DCI Basel III)	ODCI	-	-	-	-	-	-	-	-	-	-	0.00	-
45	Preference Shares	OPSH	77	8	0.11	0.11	81	8	0.10	0.10	101	-	0.00	-
46	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	31,861	832	0.03	0.03	35,473	2,524	0.07	0.07	20,123	1,410	0.07	0.07
47	Alternate Investment Funds (Category II)	OAFB	458	10	0.02	0.02	412	30	0.07	0.07	340	5	0.02	0.02
48	(d) Investment Property - Immovable	EINP	7,896	92	0.01	0.01	7,896	277	0.04	0.04	7,896	54	0.01	0.01
49	CCIL - CBLO	ECBO	1,705	21	0.01	0.01	1,705	21	0.01	0.01	-	-	0.00	-
	TOTAL		363,768	7,772	2.14	2.14	344,910	21,906	6.35	6.35	253,068	19,328	7.64	7.64

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-18

Signature _____

Mr. Nilesh Kothari

Chief of Finance

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

⁵ Group Term Life has been classified under PGA from 1st April 2013

Statement as on: December 31, 2018

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
3	Central Government Bonds	CGSB	11,508	254	0.02	0.02	11,026	716	0.06	0.06	7,125	702	0.10	0.10
4	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
5	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
6	State Government Securities	SGGB	7,559	165	0.02	0.02	7,268	461	0.06	0.06	7,108	442	0.06	0.06
7	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
8	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
9	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	4,256	94	0.02	0.02	3,639	243	0.07	0.07	2,545	178	0.07	0.07
10	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	10,169	219	0.02	0.02	9,759	629	0.06	0.06	7,662	623	0.08	0.08
11	Infrastructure - PSU - Debentures / Bonds	IPTD	2,665	58	0.02	0.02	2,617	174	0.07	0.07	2,651	186	0.07	0.07
12	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-
13	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	-	-	-	-
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	71	2	0.02	0.02	71	2	0.02	0.02	14	4	0.28	0.28
15	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	-	-
16	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
17	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	486	20	0.04	0.04	486	60	0.12	0.12	690	72	0.11	0.11
18	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Debentures	ECOS	2,889	68	0.02	0.02	2,746	195	0.07	0.07	2,351	176	0.08	0.08
20	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
21	Corporate Securities - Bonds - (Taxable)	EPBT	693	16	0.02	0.02	531	35	0.07	0.07	450	29	0.06	0.06
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	191	7	0.03	0.03	307	15	0.05	0.05
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	170	0	0.00	0.00	347	0	0.00	0.00
24	Passively Managed Equity ETF (Non Promoter Group)	EETF	0	-	-	-	0	-	-	-	0	-	-	-
25	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
26	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
30	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
31	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
32	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	4,629	126	0.03	0.03	5,125	366	0.07	0.07	2,853	186	0.07	0.07
33	CCIL - CBLO	ECBO	329	5	0.01	0.01	329	5	0.01	0.01	-	-	-	-
34	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Psu Banks]	OAPS	1,051	1	0.00	0.00	1,051	1	0.00	0.00	-	-	-	-
	TOTAL		46,309	1,028	2.22	2.22	45,011	2,894	6.43	6.43	34,102	2,614	7.67	7.67

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-18

Signature _____

Mr. Nilesh Kothari
Chief of Finance**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time¹ Based on daily simple Average of Investments² Yield netted for Tax³ In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.⁵ Group Term Life has been classified under PGA from 1st April 2013

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³				
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	
A	GOVERNMENT SECURITIES														
	Central Government Bonds	CGSB	8,232	389	0.05	0.05	7,912	402	0.05	0.05	12,711	392	0.03	0.03	
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	2,956	49	0.02	0.02	2,765	134	0.05	0.05	1,487	69	0.05	0.05	
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES														
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	620	34	0.06	0.06	610	45	0.07	0.07	633	24	0.04	0.04	
	State Government Bonds	SGGB	3,062	119	0.04	0.04	3,058	164	0.05	0.05	3,689	190	0.05	0.05	
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT														
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	386	8	0.02	0.02	360	21	0.06	0.06	307	16	0.05	0.05	
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	484	20	0.04	0.04	675	33	0.05	0.05	581	31	0.05	0.05	
D	INFRASTRUCTURE INVESTMENTS														
	Infrastructure - PSU - Debentures / Bonds	IPTD	160	0	0.00	0.00	161	6	0.04	0.04	165	9	0.05	0.05	
	Infrastructure / Social Sector - Other Corporate Securities (approved Investments) - Debentures / Bonds	ICTD	655	23	0.04	0.04	659	29	0.04	0.04	694	36	0.05	0.05	
	Infrastructure - Equity (including unlisted)	IOEQ	47	2	0.04	0.04	53	18	0.35	0.35	24	11	0.47	0.47	
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	249	19	0.08	0.08	408	88	0.21	0.21	1,145	228	0.20	0.20	
	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	305	51	0.17	0.17	353	56	0.16	0.16	793	122	0.15	0.15	
	Infrastructure - PSU - Equity shares - Quoted	ITPE	786	31	0.04	0.04	935	57	0.06	0.06	4,156	655	0.16	0.16	
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	518	8	0.02	0.02	
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	242	6	0.02	0.02	440	18	0.04	0.04	
	Infrastructure- LongTerm Bank Bonds Approved Investment	ILBI	479	22	0.05	0.05	480	26	0.05	0.05	504	24	0.05	0.05	
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS														
	PSU - Equity shares - Quoted	EAEQ	1,406	24	0.02	0.02	1,855	210	0.11	0.11	5,254	431	0.08	0.08	
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	66,681	646	0.01	0.01	71,317	4,735	0.07	0.07	78,105	17,115	0.22	0.22	
	Corporate Securities - Bonds - (Taxable)	EPBT	989	45	0.05	0.05	985	49	0.05	0.05	943	45	0.05	0.05	
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	865	32	0.04	0.04	885	49	0.06	0.06	478	8	0.02	0.02	
	Commercial Papers	ECCP	407	8	0.02	0.02	651	37	0.06	0.06	700	39	0.06	0.06	
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	3,803	327	0.09	0.09	
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	0	-	-	-	1,824	99	0.05	0.05	1,628	79	0.05	0.05	
	CCIL - CBLO	ECBO	3,818	55	0.01	0.01	3,818	55	0.01	0.01	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-	-
F	OTHER INVESTMENTS														
	Equity Shares (incl Co-op Societies)	OESH	3,134	118	0.04	0.04	3,844	171	0.04	0.04	4,629	976	0.21	0.21	
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	0	-	-	-	0	-	-	-	0	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-	-
	Preference Shares	OPSH	6	0	0.01	0.01	6	0	0.05	0.05	6	6	1.03	1.03	
	Equity Shares (PSUs & Unlisted)	OEPU	-	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	3,481	270	0.08	0.08	4,718	516	0.11	0.11	4,298	548	0.13	0.13	
	Debentures	OLDB	-	-	-	-	-	-	-	-	1,406	52	0.04	0.04	
	TOTAL		99,210	574	0.58	0.58	108,574	6,029	5.55	5.55	129,099	21,436	16.60	16.60	

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 31-Dec-18

Signature: _____

Mr. Nilesh Kothari
Chief of Finance*Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time**1 Based on daily simple Average of Investments**2 Yield netted for Tax**3 In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown**4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.*